

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2000-1761A

First Named Inventor : Norio KIMURA et al.

Title: APPARATUS AND METHOD FOR PROCESSING  
SEMICONDUCTOR SUBSTRATE

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 117 ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 48 ]
5. ☒ Oath or Declaration [Total Pages : 5 ]
  - a.1. ☐ Newly executed (original or copy)
  - a.2. ☒ Unexecuted
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**



000513

PATENT TRADEMARK OFFICE

By:

Nils E. Pedersen  
Registration No. 33,145

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December 22, 2000

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Norio KIMURA et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed December 22, 2000 : Attorney Docket No. 2000-1761A

APPARATUS AND METHOD FOR PROCESSING  
SEMICONDUCTOR SUBSTRATE

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$710.00

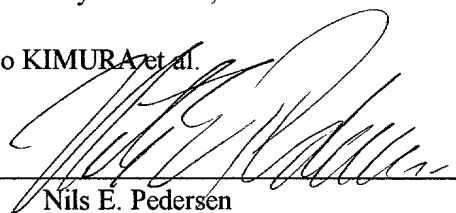
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Norio KIMURA et al.

By



Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

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December 22, 2000

[Check No. 41322]  
2000\_1761A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Norio KIMURA et al. : Attn: APPLICATION BRANCH  
Serial No. NEW : Docket No. 2000-1761A  
Filed December 22, 2000 :

APPARATUS AND METHOD FOR  
PROCESSING SEMICONDUCTOR  
SUBSTRATE

**COVER LETTER ACCOMPANYING APPLICATION FILED IN A  
LANGUAGE OTHER THAN ENGLISH UNDER 37 C.F.R. 1.52(d)**

Assistant Commissioner for Patents,  
Washington, D.C. 20231

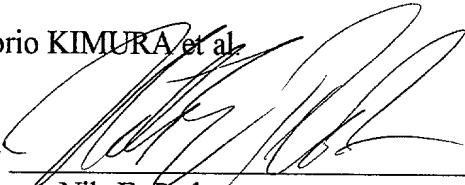
Sir:

Enclosed is a new patent application entitled "APPARATUS AND METHOD FOR PROCESSING SEMICONDUCTOR SUBSTRATE". This application is submitted in the Japanese language in accordance with 37 C.F.R. 1.52(d). In due course a verified English translation will be filed.

Respectfully submitted,

Norio KIMURA et al.

By

  
Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

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